B2B BANK
DISCOUNT BROKERAGE

A division of B2B Bank Securities Services Inc.

Transfer Authorization for Registered Investments

(RSP, LIRA, LRSP, RRIF, LRIF, LIF, RLIF, RLSP, PRIF, TFSA)

- This form can be used for transferring the registered plans listed above except (1) RIF to RSP transfers, (2) RIF or RSP to TFSA transfers, (3) TFSA to RIF or RSP transfers, (4) transfers due to death and (5) transfers due to marital breakdowns.
- Data entered on this form may be scanned and stored electronically. Please print neatly to ensure completeness, accuracy and machine-readability.

A: Client Identification

Account/Policy Holder Last Name	First Name	Initial(s)	Social Insurance Number

Address	Home Telephone Number
	( )

City	Province	Postal Code	Business Telephone Number
			( )

B: Receiving Institution Information

Receiving Institution Name ☐ B2B Bank Discount Brokerage, A division of B2B Bank Securities Services Inc.	Contact Name
	TRADING DESK

Address	Telephone Number
199 BAY STREET, SUITE 610 PO BOX 35 STN COMMERCE COURT	(**416**) **413-7201**

City	Province	Postal Code	Fax Number
TORONTO	**ON**	**M5L 0A3**	(**416**) **413-0733**

Group Plan Number (if applicable)	Client Account/Policy Number	
		FOR BBS DELIVERIES ONLY USE FINS #T080

For use by Dealers only

Dealer Name	Dealer Number	Dealer Account Number

Advisor Name	Advisor #	Business Telephone Number	Business Fax Number
		( )	( )

Registered Type:

☐ RSP ☐ LRSP ☐ RIF ☐ LRIF ☐ LIRA ☐ RLIF

☐ Spousal RSP ☐ RLSP ☐ Spousal RIF ☐ PRIF ☐ LIF ☐ TFSA

Locked-In Confirmation

B2B Bank Securities Services Inc., as agent for B2B Trustco, agrees to administer all locked-in funds transferred under this transfer authorization in accordance with the governing pension legislation indicated in Section E below. Any subsequent transfer of these locked-in funds to another trustee or financial institution will be made only to another registered plan, which will continue to be administered in accordance with the requirements indicated below. No transfer of locked-in funds will be permitted unless the receiving plan is appropriately registered and in compliance with the applicable pension legislation, regulations and the *Income Tax Act* (Canada) and appears on the Superintendent's list of Financial Institutions authorized to administer funds in the jurisdiction noted above (if applicable).

Jennifer Vachon

Authorized B2B Trustco Signing Officer/Agent

C: Client Direction to Relinquishing Institution

Relinquishing Institution Name	Group Plan Number (if applicable)

Address	Client Account/Policy Number

City	Province	Postal Code

Transfer: (check one box only for asset transfer instructions)

☐ All in kind (as is) ☐ Partial*; see list below or attached list ☐ All in cash* ☐ All assets*, but mixed in cash and in kind; see list below or attached list

***Please refer to statement in bold in Client Authorization section below.**

	Investment Amount	Symbol and/or Certificate Number or Policy Number	Investment Description
☐ In Kind ☐ In Cash ☐ Shares/Units ☐ Dollars			
☐ In Kind ☐ In Cash ☐ Shares/Units ☐ Dollars			
☐ In Kind ☐ In Cash ☐ Shares/Units ☐ Dollars			
☐ In Kind ☐ In Cash ☐ Shares/Units ☐ Dollars			

D: Client Authorization

I hereby request the transfer of my account and its investments as described above.

***WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.**

Signature of Account Holder	Date (mm/dd/yyyy)	Signature of Irrevocable Beneficiary/Former Spouse (if applicable)	Date (mm/dd/yyyy)
		Signature of Spouse (if applicable)	Date (mm/dd/yyyy)

(For locked-in plans) Spouse: I consent to the transfer of the account.

E: For Use By Relinquishing Institution Only

Registered Type: ☐ RSP ☐ LIRA ☐ LRSP ☐ RIF: ☐ Qualified ☐ Non-qualified

☐ PRIF ☐ RLIF ☐ RLSP ☐ TFSA ☐ LRIF ☐ LIF: ☐ Federal LIF ☐ Old LIF ☐ New LIF

Spousal Plan: ☐ No ☐ Yes If yes: _____ Last Name _____ First Name ____ Initial _____ Social Insurance Number

Locked-In: ☐ No ☐ Yes **If yes, locked-in confirmation attached** ☐ Locked-in funds: $_____ Governing legislation _____

- **The default is "unisex;" if sex-distinct, check here ☐ For plans governed by Manitoba PBA, if Death Benefit waiver attached, check here ☐**
- **If spouse waiver/consent form attached, check here ☐**
- **For LIF governed by Manitoba PBA: Is the transferor aware of a one-time transfer under section 21.4 of the Manitoba PBA: Yes ☐ No ☐**

For LIF governed by AB, ON and MB and LRIF governed by NL and ON:

Plan value on January 1: $_____ Transfers out in current year: $_____

Transfers in current year: $_____ Income payments in current year: $_____

Current year's investment earnings: $_____

Original (creation) date of plan (LRIF only):_____
Date (mm/dd/yyyy)

Contact Name	Telephone Number	Fax Number
	( )	( )

Authorized Signature	Date (mm/dd/yyyy)

FORWARD TO B2B BANK DISCOUNT BROKERAGE FOR PROCESSING